

Updated on: 01/02/2013

No.	Required information/data	Required format	Instruction
Question 1	Number of financial engineering instrument operation implemented with a holding fund	number	If under this Operational Programme financial engineering instrument(s) is/are implemented with a holding fund(s), a number of holding funds which signed funding agreement(s) with Managing Authority should be indicated in this section. A number of financial intermediaries under each holding fund is not relevant here, as the question concerns the number of operations.
Question 2	Number of financial engineering instrument operations implemented without a holding fund	number	Number of financial engineering instruments which signed funding agreement(s) with Managing Authority should be indicated in this section. This number should neither contain holding funds which are counted in Question 1, nor all financial engineering instruments managed under the holding fund. Example: if the holding fund, based on funding agreements with financial intermediaries, manages 5 different specific funds those funds should not be reported in this section as they do not constitute a separate operation.
<b>Template 1: Financial Engineering Instruments operations implemented with a holding fund</b>		////////////////////////////////////	Sections marked with "*" are optional, however we encourages Managing Authorities to complete these sections.
<b>I. Description and identification of the entities which implement the financial engineering instrument(s) - level of holding fund (Article 67(2)(j)(i&amp;ii) of Council Regulation (EC) No 1083/2006)</b>		////////////////////////////////////	////////////////////////////////////
I.1	<b>Holding fund (name and registered place of business)</b>	text	Name: in English (if possible) or in national language; Registered place of business: city name only.
I.2	<b>Legal status of holding fund</b>	////////////////////////////////////	////////////////////////////////////
	independent legal entities governed by agreements between the co-financing partners or shareholders separate block of finance within a financial institution	radio button ☉ ☉	choice of only 1 option allowed
I.2.1*	name and registered place of business of co-financing partners	text	Official name, country and city where the holding fund co-financing partner(s) was/were officially registered. This section can be repeated as many times as many co-financing partner(s) holding fund has.
I.3	<b>Holding fund manager</b>	////////////////////////////////////	////////////////////////////////////
	European Investment Bank (EIB)	radio button ☉ ☉ ☉ ☉	choice of only 1 option allowed
	European Investment Fund (EIF)		
	financial institution other than the EIB/EIF		
other body			
I.3.1	name and registered place of other body	text	Official name, country and city where the financial institution other than EIB/EIF or other body was officially registered.
I.4	<b>Procedure for selecting the holding fund manager</b>	////////////////////////////////////	////////////////////////////////////
	award of a public contract in accordance with applicable public procurement law	radio button ☉ ☉ ☉	choice of only 1 option allowed
	award of a grant (in the meaning of Article 44, second paragraph, point (b) of Council Regulation (EC) No 1083/2006)		
award of a contract directly to the EIB or the EIF			
I.5	<b>Date of signature of funding agreement with Managing Authority</b>	DD/MM/YYYY	Date of signature of funding agreement between managing authority and holding fund. Date format should be DD/MM/YYY which will be automatically converted by SFC2007 to YYYY format.
I.6	<b>Number of financial engineering instruments implemented under this specific holding fund</b>	number	Number of specific funds ( loan, guarantee, equity/venture capital or other funds) implemented through a holding fund. If there are several financial intermediaries implementing the same product (e.g. the same loan) they are considered to be distinct specific funds which should be reported separately. For each specific fund section II below should be repeated.

<b>II. Description and identification of the entities which implement financial engineering instrument - level of the specific financial engineering instrument implemented with a holding fund (Article 67(2)(j)(i&amp;ii) of Council Regulation (EC) No 1083/2006)</b>		////////////////////////////////////	Sections II.1 – II.7 relate to a specific fund and should to be repeated accordingly for each specific fund implemented with the holding fund described previously in section I.
II.1	<b>Financial engineering instrument</b> (name and registered place of business)	text	Official name of the specific fund implemented with a holding fund: in English (if possible) or in national language; Registered place of business: city name only.
II.2	<b>Attributable to Article 44, first paragraph (a), (b) or (c) of Council Regulation (EC) No 1083/2006)?</b>	////////////////////////////////////	////////////////////////////////////
	(a) financial engineering instruments for enterprises	radio button <input type="radio"/> <input type="radio"/> <input type="radio"/>	choice of only 1 option allowed
	(b) urban development funds		
(c) funds or other incentive schemes providing loans, guarantees for repayable investments, or equivalent instruments, for energy efficiency and use of renewable energy in buildings, including in existing housing			
II.3	<b>Type of financial product offered by the financial engineering instrument to the final recipients</b>	////////////////////////////////////	////////////////////////////////////
II.3.1	equity	clicking box <input type="checkbox"/>	choice of min.1 and max. 4 options allowed
II.3.2	loan	clicking box <input type="checkbox"/>	
II.3.3	guarantee	clicking box <input type="checkbox"/>	
II.3.4	other product (interest rate subsidies, guarantee fee subsidies and equivalent measures)	clicking box <input type="checkbox"/>	
II.4	<b>Financial engineering instrument manager</b> (name and registered place of business)	text	Official name of specific fund manager: in English (if possible) or in national language; Registered place of business: city name only.
II.7	<b>Legal status of the financial engineering instrument</b>	////////////////////////////////////	////////////////////////////////////
	independent legal entities governed by agreements between the co-financing partners or shareholders separate block of finance within a financial institution	radio button <input type="radio"/> <input type="radio"/>	choice of only 1 option allowed
<b>III. Operational Programme contributions paid to the financial engineering instrument (Article 67(2)(j)(iii) of Council Regulation (EC) No 1083/2006)</b>		////////////////////////////////////	Sections III.2 - III. 4 relate to the contributions paid by the MA to the holding fund. Sections III.5 –III.5.5 relate to the contributions paid by the holding fund to the specific fund
III.2	Operational Programme contributions paid to the holding fund	////////////////////////////////////	////////////////////////////////////
III.2.1	Amounts of assistance from the Structural Funds paid to the holding fund	////////////////////////////////////	////////////////////////////////////
III.2.1.1*	ERDF amounts of assistance committed in the funding agreement with Managing Authority (in EUR)	number (amount)	ERDF allocation from this operational programme committed in the funding agreement signed between managing authority and holding fund should be indicated in this section.
III.2.1.2	ERDF amounts of assistance paid to the holding fund (in EUR)	number (amount)	ERDF amounts of assistance effectively paid to holding fund, means the ERDF assistance committed in the funding agreement and paid-into the account of the holding fund. If part of ERDF assistance has been withdrawn from the Holding fund then the MA should report the net ERDF amount (ERDF amount paid –ERDF amount withdrawn).
III.2.1.3*	ESF amounts of assistance committed in the funding agreement with Managing Authority (in EUR)	number (amount)	ESF allocation from this operational programme committed in the funding agreement signed between the managing authority and the holding fund should be indicated in this section.
III.2.1.4	ESF amounts of assistance paid to the holding fund (in EUR)	number (amount)	ESF amounts of assistance effectively paid to holding fund, means the ESF assistance committed in the funding agreement and paid-into the account of the holding fund. If part of ESF assistance has been withdrawn from the holding fund then the MA should report the net ESF amount (ESF amount paid –ESF amount withdrawn).
III.2.2	Amounts of national co-financing paid to the holding fund	////////////////////////////////////	////////////////////////////////////

III.2.2.1*	National public co-financing committed in the funding agreement with Managing Authority (in EUR)	number (amount)	National public co-financing constituting part of the Operational Programme committed in the funding agreement signed between holding fund and Managing Authority. Any other national public financing which do not constitute a part of Operational Programme contributions should not be reported.
III.2.2.2	National public co-financing paid to the holding fund (in EUR)	number (amount)	National public co-financing constituting part of the Operational Programme committed in the funding agreement and paid into the account of the holding fund.
III.2.2.3*	National private co-financing committed in the funding agreement with Managing Authority (in EUR)	number (amount)	National private co-financing constituting part of the Operational Programme committed in the funding agreement signed between holding fund and Managing Authority. National private co-financing means only this part of national private financing which is part of the OP resources [only for OPs under Article 53(1)(a) of General Regulation]. Any other national private financing which do not constitute part of Operational Programme contributions should not be reported.
III.2.2.4	National private co-financing paid to the holding fund (in EUR)	number (amount)	National private co-financing constituting part of the Operational Programme committed to holding fund in the funding agreement and paid into the account of the holding fund [only for OPs under Article 53(1)(a) of General Regulation]. Any other national private financing which do not constitute part of Operational Programme contributions should not be reported.
III.2.3	Other ERDF or ESF Operational Programme(s) provide(s) contributions to the holding fund	radio button <input type="radio"/> <input type="radio"/>	Titles and CCI numbers of other Operational Programme(s) contributing to the same holding fund should be reported in this section.
III.3*	Amounts of other assistance paid to the holding fund outside this Operational Programme (in EUR)	number (amount)	This section relates to funding paid to the holding fund which does not constitute part of the Operational Programme concerned. As the national/ regional authorities or any other body or investor may decide to provide additional funding to a holding fund, the optional section III.3 cover this possibility.
III.4	Management costs and fees paid to the holding fund ( in the meaning of Article 78(6)(d) of Council Regulation (EC) No 1083/2006) (in EUR)	number (amount)	Total amount of management costs and fees paid from Operational Programme contributions to the holding fund until 31 December 2012, in accordance with the conditions of the relevant funding agreement. Management costs and fees paid from other Operational Programmes contributing to the same holding fund should not be reported.
III.5	Operational Programme contributions paid from the holding fund to the specific fund	////////////////////	Sections III.5 –III.6 relate to the specific fund and have to be repeated accordingly for each specific fund.
III.5.1*	Operational Programme contributions committed to the specific fund in the legal agreement with holding fund (in EUR)	number (amount)	This section relates to Operational Programme contributions (Structural Funds and national public and private co-financing) committed in the funding agreement signed between holding fund and specific fund. Resources committed from other Operational Programmes or other sources should not be reported.
III.5.1.1*	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount)	This section relates only to this part of the amount indicated under III.5.1* which comes from the Structural Funds (ERDF or ESF resources).
III.5.2	Operational Programme contributions paid to the specific fund in line with the legal agreement with holding fund (in EUR)	number (amount)	Amount of operational programme assistance paid from the holding fund to specific fund, means the amount of assistance committed in the funding agreement and paid-into the account of the specific fund. This amount relates to the operational programme contribution (Structural Funds and national co-financing). Resources paid from other OPs (contributing to the same FEI) or other sources should not be reported.
III.5.3	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount)	This amount relates only to this part of the amount indicated under III.5.2 which comes from Structural Funds (ERDF and ESF resources )
III.5.4	out of which national public co-financing paid to the specific fund (in EUR)	number (amount)	This section relates only to this part of amount indicated in section III.5.2 which constitutes national public co-financing of this particular Operational Programme.
III.5.5	out of which national private co-financing paid to the specific fund (in EUR)	number (amount)	This section relates only to this part of amount indicated in section III.5.2 which constitutes national private co-financing of this particular Operational Programme [only for OPs under Article 53(1)(a) of General Regulation].
III.6	<b>Management costs and fees paid by the holding fund to the specific fund ( in the meaning of Article 78(6)(d) of Council Regulation (EC) No 1083/2006) (in EUR)</b>	number (amount)	Operational Programme contribution to management costs and fees paid by the holding fund to the specific fund funding until 31 December 2012, in accordance with the conditions of the relevant agreement.

<b>IV. Operational Programme contributions paid by financial engineering instrument(s) to final recipients (Article 67(2)(j)(iv) of Council Regulation (EC) No 1083/2006)</b>		////////////////////////////////////	////////////////////////////////////
<b>IV.1</b>	<b>Operational Programme contributions paid to final recipients in loans</b>	////////////////////////////////////	If the holding fund signed with the same specific fund more than one funding agreement for different loan products, the entire section IV.1 should be repeated as many times as many funding agreements for different loan products have been signed with this particular specific fund.
IV.1.1	Name of loan product	text	Name of loan product supported with Operational Programme resources and offered by specific fund (=financial intermediary) to final recipients. The name of loan product should not be confused with the name of the specific fund or the financial intermediary name.
IV.1.2*	Number of final recipients supported	////////////////////////////////////	////////////////////////////////////
IV.1.2.1*	out of which large enterprises	number	Total number of large enterprises which signed a contract for loan product with the specific fund, and to which the latter disbursed a loan (or a part of loan) until the end of 2012. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.1.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises(SMEs) which signed a contract for loan product with the specific fund, and to which the latter disbursed a loan (or a part of loan) until the end of 2012. Definition of a "SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million.
IV.1.2.2.1*	out of which microenterprises	number	Total number of microenterprises which signed a contract for loan product with the specific fund, and to which the latter disbursed a loan (or a part of loan) until the end of 2012. Definition of a "microenterprise(s)" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employs less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.
IV.1.2.3*	out of which individuals	number	Total number of individuals which signed a contract for loan product with the specific fund, and to whom the latter disbursed a loan (or a part of loan) until the end of 2012. "Individuals" means all natural persons not covered by the definition of enterprise in the Commission Recommendation 2003/361/EC of 6 May 2003 (Title I, Article 1 of the Annex 1) or not covered by the definition of legal person in the national legislation.
IV.1.2.4*	out of which urban projects	number	Total number of urban projects supported with loan. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.1.2.5*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1* -IV.4.2.3*, but are supported with loan.
IV.1.3*	Number of loan contracts signed with final recipients	number	Number of loan contracts that the specific fund signed with final recipients (including the contracts for loans for which no disbursement took place).
IV.1.4*	Total value of loans committed in contracts signed with final recipients (in EUR)	number (amount)	Total value of loans in contracts signed with final recipients should be indicated. This amount should include Operational Programme contributions and other resources (e.g. commercial bank resources or additional regional contribution) which do not constitute part of OP.
IV.1.4.1*	out of which Operational Programme contributions	number (amount)	Operational Programme contributions assistance (i.e. ERDF/ESF + national public and private co-financing) committed in signed loans should be reported in this section. If the loans were also financed from other resources (e.g. commercial bank resources or additional regional contribution) which do not constitute part of the Operational Programme, those other resources should not be reported.
IV.1.5	Amounts of Operational Programme contributions paid to final recipients in loans (in EUR)	number (amount)	Amounts of Operational Programme contribution (i.e. ERDF/ESF + national public and private co-financing) should be indicated in the section. Other resources (e.g. commercial bank resources or additional regional contributions) which contribute to this product but do not constitute part of the Operational Programme should not be reported in this section. This section refers to the amounts of Operational Programme effectively disbursed, means paid into the account of the final recipients until the end of 2012. Resources returned to the operation from investments in final recipients are not considered amounts of Operational Programme contributions. Consequently, those resources returned to the operation and reinvested in final recipients should not be reported in this sections.

IV.1.5.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount)	This section relates only to this part of amount indicated in section IV.1.5, provided from Structural Funds (= ERDF & ESF resources).
IV.1.6	Date of signature of funding agreement between holding fund and specific fund for this loan product	DD/MM/YYYY	Date of signature of funding agreement between holding fund and specific fund. Date format should be DD/MM/YYYY which will be automatically converted by SFC2007 to YYYY format.
<b>IV.2</b>	<b>Operational Programme contributions paid to final recipients in guarantees</b>	////////////////////////////////////	If the holding fund signed with the same specific fund more than one funding agreement for different guarantee products, the entire section IV.2 should be repeated as many times as many funding agreements for different guarantee products have been signed with this particular specific fund.
IV.2.1	Name of guarantee product	text	Name of guarantee product supported with Operational Programme resources and offered by specific fund (=financial intermediary) to final recipients. The name of guarantee product should not be confused with the name of the specific fund or the financial intermediary name.
IV.2.2*	Number of final recipients supported	////////////////////////////////////	////////////////////////////////////
IV.2.2.1*	out of which large enterprises	number	Total number of large enterprises to which the loans guaranteed with Operational Programme contribution was (fully or partially) disbursed until the end of 2012. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.2.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises(SMEs) to which the loans guaranteed with Operational Programme contribution were effectively (fully or partially) disbursed until the end of 2012. Definition of a "SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million.
IV.1.2.2.1*	out of which micro-enterprises	number	Total number of micro-enterprises to which the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of 2012. Definition of a "microenterprises" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employs less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.
IV.2.2.3*	out of which individuals	number	Total number of individuals to whom the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of 2012. "Individuals" means all natural persons not covered by the definition of enterprise in the Commission Recommendation 2003/361/EC of 6 May 2003 (Title I, Article 1 of the Annex 1) or not covered by the definition of legal person in the national legislation.
IV.2.2.4*	out of which urban projects	number	Total number of urban projects to which the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of 2012. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.2.2.5*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1* -IV.4.2.3*, but to which the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of 2012.
IV.2.3*	Amounts of Operational Programme contributions blocked for guarantee contracts signed with final recipients (in EUR)	number (amount)	Operational Programme contribution is not equal to the total value of guarantee(s). In line with section 4.1 of the Guidance Note on Financial Engineering Instruments (COCOF-10-0014-05 of 08/02/2011), amounts of Operational Programme contributions should be based on the appropriate multiplier ratio and cover only expected and unexpected losses from loans. Therefore, the amounts requested in this sections are the Operational Programme contributions blocked for guarantee contracts signed (for guarantees provided and guarantees committed) irrespective whether the loan was disbursed or not.
IV.2.4	Amounts of Operational Programme contributions blocked for guarantee contracts for loans paid to final recipients (in EUR)	number (amount)	Operational Programme contribution is not equal to the total value of guarantee(s). In line with section 4.1 of the Guidance Note on Financial Engineering Instruments (COCOF-10-0014-05 of 08/02/2011), amounts of Operational Programme contributions should be based on the appropriate multiplier ratio and cover only expected and unexpected losses from loans. Therefore, the amounts requested in this sections are the Operational Programme contributions blocked for guarantee contracts signed (for guarantees provided and guarantees committed) for the loans which were disbursed by the end of 2012.

IV.2.4.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount)	This section relates only to this part of the amount indicated under IV.2.4. which were provided from Structural funds (=ERDF or ESF resources)
IV.2.5*	Number of loans paid to final recipients in relation to guarantees contracts signed with final recipients	number	Number of loans disbursed until the end of 2012 in respect of which the guarantees supported from Operational Programme contributions have been committed/provided.
IV.2.6	Amount of loans actually paid to final recipients in relation to guarantees contracts signed (in EUR)	number (amount)	Total amount of loans guaranteed with OP resources and disbursed to final recipients.
IV.2.7	Date of signature of funding agreement between holding fund and specific fund for this guarantee product	DD/MM/YYYY	Date of signature of funding agreement between holding fund and specific fund. Date format should be DD/MM/YYYY which will be automatically converted by SFC2007 to YYYY format.
<b>IV.3</b>	<b>Operational Programme contributions paid to final recipients in equity/venture capital</b>	////////////////////////////////////	////////////////////////////////////
IV.3.1	Name of equity/venture capital product	text	Name of equity/venture capital product supported with Operational Programme resources and offered by specific fund (=financial intermediary) to final recipients. The name of equity product should not be confused with the name of the specific fund or the financial intermediary name.
IV.3.2*	Number of final recipients supported	////////////////////////////////////	////////////////////////////////////
IV.3.2.1*	out of which large enterprises	number	Total number of large enterprises which signed a contract for equity/venture capital product with the specific fund, and to which the latter effectively made investment until the end of 2012. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.3.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises (SMEs) which signed a contract for equity/venture capital product with the specific fund, and to which the latter effectively made investment until the end of 2012. Definition of a "SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million.
IV.1.2.2.1*	out of which microenterprises	number	Total number of microenterprises which signed a contract for equity/venture capital product with the specific fund, and to which the latter effectively made investment (or a part of it) until the end of 2012. Definition of a "microenterprises" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employ less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.
IV.3.2.3*	out of which urban projects	number	Total number of urban projects supported with equity/venture capital product. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.3.2.4*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1* -IV.4.2.3*, but to which the specific fund effectively made investment until the end of 2012.
IV.3.3*	Number of equity/venture capital investments made in line with agreements signed between holding fund and specific (equity/venture capital) fund	number	Number of agreements with final recipients for which investment was made by end of 2012.
IV.3.4	Amounts of Operational Programme contributions paid to investments made in line with agreements (in EUR)	number (amount)	Amounts of Operational Programme contribution ( i.e. ERDF/ESF + national public and private co-financing), paid to final recipients should be indicated in the section. Other resources (e.g. commercial bank resources or additional regional contributions) which contribute to this product but do not constitute part of the Operational Programme should not be reported in this section. This sections refers to the amounts of Operational Programme effectively disbursed, means paid into the account of the final recipients until the end of 2012. Resources returned to the operation from investments in final recipients are not considered amounts of Operational Programme contributions. Consequently, those resources returned to the operation and reinvested in final recipients should not be reported in this sections.

IV.3.4.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount)	This section relates only to this part of amount indicated in section IV.3.4, provided from Structural Funds (=ERDF & ESF resources).
IV.3.5	Date of signature of funding agreement between holding fund and specific fund for this equity/venture capital product	DD/MM/YYYY	Date of signature of funding agreement between holding fund and specific fund. Date format should be DD/MM/YYYY which will be automatically converted by SFC2007 to YYYY format.
<b>IV.4</b>	<b>Operational Programme contributions paid to final recipients in other financial products</b>	////////////////////////////////////	"Other financial product" means the products other than those covered by the provisions under sections IV.1.1, IV.2.1, IV.3.1 of this template. Under section IV.4 the products like guarantee fee subsidies, interest rate subsidies and other products in line with section 4.3 of the Guidance Note on Financial Engineering Instruments (COCOF-10-0014-05 of 08/02/2011) should be reported. If the holding fund signed with the same specific fund more than one funding agreement for different other financial products, the entire section IV.4 should be repeated as many times as many funding agreements for other financial product have been signed with this specific fund.
IV.4.1	Name of other financial product	text	Name of other financial product supported with Operational Programme resources and offered by specific fund (=financial intermediary) to final recipients or for the benefit of final recipients. The name of other product should not be confused with the name of the specific fund or the financial intermediary name.
IV.4.2*	Number of final recipients supported		////////////////////////////////////
IV.4.2.1*	out of which large enterprises	number	Total number of large enterprises supported with other financial product. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.4.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises(SMEs) supported with other financial product. Definition of a "SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million.
IV.1.2.2.1*	out of which microenterprises	number	Total number of micro-enterprises supported with other financial product. Definition of a "microenterprises" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employs less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.
IV.4.2.3*	out of which individuals	number	Total number of individuals supported with other financial product. "Individuals" means all natural persons not covered by the definition of enterprise in the Commission Recommendation 2003/361/EC of 6 May 2003 (Title I, Article 1 of the Annex 1) or not covered by the definition of legal person in the national legislation
IV.4.2.4*	out of which urban projects	number	Total number of urban projects supported with other financial product. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.4.2.5*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1* -IV.4.2.3*, but are supported with other financial product.
IV.4.3	Amounts of Operational Programme contributions paid to the final recipients (in EUR)	number (amount)	Amounts of Operational Programme contribution i.e. ERDF/ESF + national public and private co-financing, paid to final recipients or paid for the benefit of final recipients (in a case when the subsidy is paid directly to the financial intermediary), should be indicated in the section. Other resources (e.g. commercial bank resources or additional regional contributions) which contribute to this product but do not constitute part of the Operational Programme should not be reported in this section. This sections refers to the amounts of Operational Programme effectively disbursed, means paid into the account of the final recipients or to the benefit of final recipients, until the end of 2012. Resources returned to the operation from investments in final recipients are not considered amounts of Operational Programme contributions. Consequently, those resources returned to the operation and either reinvested in final recipients or paid to final recipients or for the benefit of final recipients) should not be reported in this sections.

IV.4.3.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount)	This section relates only to this part of amount indicated in section IV.4.3, provided from Structural Funds (ERDF and ESF) and paid to final recipients or paid for the benefit of final recipients until the end of 2012.
IV.4.4*	Number of other financial products provided to final recipients	number	Total number of final recipients supported with other financial product.
IV.4.5	Date of signature of funding agreement between holding fund and specific fund for this (other)financial product	DD/MM/YYYY	Date of signature of funding agreement between holding fund and specific fund. Date format should be DD/MM/YYYY which will be automatically converted by SFC2007 to YYYY format.
<b>IV.5</b>	<b>Indicators</b>	////////////////////////////////////	////////////////////////////////////
IV.5.1*	Number of jobs created	number	Core indicator n°1 for ERDF and CF at OP level (definition: gross direct jobs created, full time equivalents, Source: "Working Document No. 7. Indicative Guidelines on evaluation methods: Reporting on core indicators for the European Regional Development Fund and the Cohesion Fund" ( <a href="http://ec.europa.eu/regional_policy/sources/docoffic/working/sf2000_en.htm">http://ec.europa.eu/regional_policy/sources/docoffic/working/sf2000_en.htm</a> ))



No.	Required information/data	Required format	Instruction
<b>Template 2: Financial Engineering Instruments operations implemented without a holding fund</b>		////////////////////////////////////	Sections marked with "*" are optional, however we encourages Managing Authorities to complete these sections.
<b>II. Description and identification of the entities which implement financial engineering instrument - level of the specific fund implemented without a holding fund (Article 67(2)(j)(i&amp;ii) of Council Regulation (EC) No 1083/2006)</b>		////////////////////////////////////	Sections II.1 – II.6 relate to a specific fund and should to be repeated accordingly for each specific fund implemented without a holding fund
II.1	Financial engineering instrument (name and registered place of business)	text	Official name of the specific fund implemented without a holding fund: in English (if possible) or in national language; Registered place of business: city name only
II.2	Attributable to Article 44, first paragraph (a), (b) or (c) of Council Regulation (EC) No 1083/2006)?	////////////////////////////////////	////////////////////////////////////
	(a) financial engineering instruments for enterprises	radio button <input type="radio"/> <input type="radio"/> <input type="radio"/>	choice of only 1 option allowed
	(b) urban development funds		
	(c) funds or other incentive schemes providing loans, guarantees for repayable investments, or equivalent instruments, for energy efficiency and use of renewable energy in buildings, including in existing housing		
II.3	Type of financial product offered by the financial engineering instrument to the final recipients	////////////////////////////////////	////////////////////////////////////
II.3.1	equity	clicking box <input type="checkbox"/>	choose minimum 1 and maximum 4 options
II.3.2	loan	clicking box <input type="checkbox"/>	
II.3.3	guarantee	clicking box <input type="checkbox"/>	
II.3.4	other product (interest rate subsidies, guarantee fee subsidies and equivalent measures)	clicking box <input type="checkbox"/>	
II.4	Financial engineering instrument manager (name and registered place of business)	text	Official name of specific fund manager: in English (if possible) or in national language; Registered place of business: city name only
II.7	<b>Legal status of the financial engineering instrument</b>	////////////////////////////////////	////////////////////////////////////
	independent legal entities governed by agreements between the co-financing partners or shareholders separate block of finance within a financial institution	radio button <input type="radio"/> <input type="radio"/>	choice of only 1 option allowed
II.6	Date of signature of funding agreement with Managing Authority	DD/MM/YYYY	Date of signature of funding agreement between Managing authority and specific fund fund. Date format should be DD/MM/YYYY which will be automatically converted by SFC2007 to YYYY format.
<b>III. Operational Programme contributions paid to the financial engineering instrument (Article 67(2)(j)(iii) of Council Regulation (EC) No 1083/2006)</b>		////////////////////////////////////	Sections III.2 - III. 4 relate to the contributions paid by the MA to the specific fund.
III.2	Operational Programme contributions paid to the specific fund fund	////////////////////////////////////	////////////////////////////////////
III.2.1	Amounts of assistance from the Structural Funds paid to the specific fund	////////////////////////////////////	////////////////////////////////////
III.2.1.1*	ERDF amounts of assistance committed in the funding agreement with Managing Authority (in EUR)	number (amount)	ERDF allocation from this operational programme committed in the funding agreement signed between managing authority and specific fund should be reported in this section.
III.2.1.2	ERDF amounts of assistance paid to the specific fund (in EUR)	number (amount)	ERDF amounts of assistance effectively paid to the specific fund, means the ERDF assistance committed in the funding agreement and paid-into the account of the specific fund. If a part of ERDF assistance has been withdrawn from the specific fund then, Managing authority should report a net ERDF amount (ERDF amount paid –ERDF amount withdrawn) in this section.
III.2.1.3*	ESF amounts of assistance committed in the funding agreement with Managing Authority (in EUR)	number (amount)	ESF allocation from this operational programme committed in the funding agreement signed between Managing authority and specific fund should be reported in this section.

III.2.1.4	ESF amounts of assistance paid to the specific fund (in EUR)	number (amount)	ESF amounts of assistance effectively paid to the specific fund, means the ESF assistance committed in the funding agreement and paid-into the account of the specific fund. If part of ESF assistance has been withdrawn from the specific fund then the MA should report a net ESF amount (ESF amount paid –ESF amount withdrawn) in this section.
III.2.2	Amounts of national co-financing paid to the specific fund	////////////////////////////////////	////////////////////////////////////
III.2.2.1*	National public co-financing committed in the funding agreement with Managing Authority (in EUR)	number (amount)	National public co-financing constituting part of the Operational Programme committed in the funding agreement signed between Managing Authority and specific fund. Any other national public financing which do not constitute a part of Operational Programme contributions should not be reported.
III.2.2.2	National public co-financing paid to the specific fund (in EUR)	number (amount)	National public co-financing constituting part of the Operational Programme committed in the funding agreement and paid into the account of the specific fund.
III.2.2.3*	National private co-financing committed in the funding agreement with Managing Authority (in EUR)	number (amount)	National private co-financing constituting part of the Operational Programme committed in the funding agreement signed between Managing Authority and specific fund. National private co-financing means only this part of national private financing which is part of the OP resources [only for OPs under Article 53(1)(a) of General Regulation]. Any other national private financing which do not constitute part of Operational Programme contributions should not be reported.
III.2.2.4	National private co-financing paid to the specific fund (in EUR)	number (amount)	National private co-financing constituting part of the Operational Programme (only for OPs under Article 53(1)(a) of General Regulation) committed to the specific fund in the funding agreement and paid into the account of the specific fund. Any other national private financing which do not constitute part of Operational Programme contributions should not be reported.
III.2.3	Other ERDF or ESF Operational Programme(s) provide(s) contributions to the specific fund	radio button <input type="radio"/> <input type="radio"/>	Titles and CCI numbers of other Operational Programme(s) contributing to the same specific fund should be reported in this section
III.3*	Amounts of other assistance paid to the specific fund outside this Operational Programme (in EUR)	number (amount)	This section relates to funding paid to the specific fund which does not constitute part of the Operational Programme concerned. As the national/ regional authorities or any other body or investor may decide to provide additional funding to a specific fund, the optional section III.3 cover this possibility.
III.4	Management costs and fees paid to the specific fund ( in the meaning of Article 78(6)(d) of Council Regulation (EC) No 1083/2006) (in EUR)	number (amount)	Total amount of management costs and fees paid from Operational Programme contributions to the specific fund until 31 December 2012, in accordance with the conditions of the relevant funding agreement. Management costs and fees paid from other Operational Programmes contributing to the same specific fund should not be reported.
<b>IV. Operational Programme contributions paid by financial engineering instrument(s) to final recipients (Article 67(2)(j)(iv) of Council Regulation (EC) No 1083/2006)</b>		////////////////////////////////////	////////////////////////////////////
<b>IV.1</b>	<b>Operational Programme contributions paid to final recipients in loans</b>	////////////////////////////////////	If the specific fund signed with the same specific fund more than one funding agreement for different loan products, the entire section IV.1 should be repeated as many times as many funding agreements for different loan products have been signed with this particular specific fund.
IV.1.1	Name of loan product	text	Name of loan product supported with Operational Programme resources and offered by specific fund ( =financial intermediary) to final recipients. The name of loan product should not be confused with the name of the specific fund or the financial intermediary name.
IV.1.2*	Number of final recipients supported	////////////////////////////////////	////////////////////////////////////
IV.1.2.1*	out of which large enterprises	number	Total number of large enterprises which signed a contract for loan product with the specific fund, and to which the latter disbursed a loan (or a part of loan) until the end of 2012. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.1.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises(SMEs) which signed a contract for loan product with the specific fund, and to which the latter disbursed a loan (or a part of loan) until the end of 2012. Definition of a " SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million.
IV.1.2.2.1*	out of which micro-enterprises	number	Total number of microenterprises which signed a contract for loan product with the specific fund, and to which the latter disbursed a loan (or a part of loan) until the end of 2012. Definition of a "microenterprise(s)" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employ less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.

IV.1.2.3*	out of which individuals	number	Total number of individuals which signed a contract for loan product with the specific fund, and to whom the latter disbursed a loan (or a part of loan) until the end of 2012. "Individuals" means all natural persons not covered by the definition of enterprise in the Commission Recommendation 2003/361/EC of 6 May 2003 (Title I, Article 1 of the Annex 1) or not covered by the definition of legal person in the national legislation.
IV.1.2.4*	out of which urban projects	number	Total number of urban projects supported with loan. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.1.2.5*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1* -IV.4.2.3*, but are supported with loan.
IV.1.3*	Number of loan contracts signed with final recipients	number	Number of loan contracts that the specific fund signed with final recipients (including the contracts for loans for which no disbursement took place)
IV.1.4*	Total value of loans committed in contracts signed with final recipients (in EUR)	number (amount)	Total value of loans in contracts signed with final recipients should be indicated. This amount should include Operational Programme contributions and other resources (e.g. commercial bank resources or additional regional contribution) which do not constitute part of OP.
IV.1.4.1*	out of which Operational Programme contributions	number (amount)	Operational Programme contributions assistance (i.e. ERDF/ESF +national public and private co-financing) committed in signed loans should be reported in this section. If the loans were also financed from other resources (e.g. commercial bank resources or additional regional contribution) which do not constitute part of the Operational Programme, those other resources should not be reported.
IV.1.5	Amounts of Operational Programme contributions paid to final recipients in loans (in EUR)	number (amount)	Amounts of Operational Programme contribution (i.e. ERDF/ESF + national public and private co-financing) should be indicated in the section. Other resources (e.g. commercial bank resources or additional regional contributions) which contribute to this product but do not constitute part of the Operational Programme should not be reported in this section. This section refers to the amounts of Operational Programme effectively disbursed, means paid into the account of the final recipients until the end of 2012. Resources returned to the operation from investments in final recipients are not considered amounts of Operational Programme contributions. Consequently, those resources returned to the operation and reinvested in final recipients should not be reported in this sections.
IV.1.5.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount)	This section relates only to this part of amount indicated in section IV.1.5, provided from Structural Funds (= ERDF & ESF resources).
IV.1.6	Date of signature of funding agreement between Managing Authority and specific fund for this loan product	DD/MM/YYYY	Date of signature of funding agreement between Managing authority and specific fund. Date format should be DD/MM/YYYY which will be automatically converted by SFC2007 to YYYY format.
<b>IV.2</b>	<b>Operational Programme contributions paid to final recipients in guarantees</b>	////////////////////////////////////	If the specific fund signed with the same specific fund more than one funding agreement for different guarantee products, the entire section IV.2 should be repeated as many times as many funding agreements for different guarantee products have been signed with this particular specific fund.
IV.2.1	Name of guarantee product	text	Name of guarantee product supported with Operational Programme resources and offered by specific fund (=financial intermediary) to final recipients. The name of guarantee product should not be confused with the name of the specific fund or the financial intermediary name.
IV.2.2*	Number of final recipients supported	////////////////////////////////////	////////////////////////////////////
IV.2.2.1*	out of which large enterprises	number	Total number of large enterprises to which the loans guaranteed with Operational Programme contribution was (fully or partially) disbursed until the end of 2012. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.2.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises(SMEs) to which the loans guaranteed with Operational Programme contribution were effectively (fully or partially) disbursed until the end of 2012. Definition of a "SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million.
IV.1.2.2.1*	out of which micro-enterprises	number	Total number of micro-enterprises to which the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of 2012. Definition of a "microenterprises" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employs less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.
IV.2.2.3*	out of which individuals	number	Total number of individuals to whom the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of 2012. "Individuals" means all natural persons not covered by the definition of enterprise in the Commission Recommendation 2003/361/EC of 6 May 2003 (Title I, Article 1 of the Annex 1) or not covered by the definition of legal person in the national legislation.

IV.2.2.4*	out of which urban projects	number	Total number of urban projects to which the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of 2012. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.2.2.5*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1* -IV.4.2.3*, but to which the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of 2012.
IV.2.3*	Amounts of Operational Programme contributions blocked for guarantee contracts signed with final recipients (in EUR)	number (amount)	Operational Programme contribution is not equal to the total value of guarantee(s). In line with section 4.1 of the Guidance Note on Financial Engineering Instruments (COCOF-10-0014-05 of 08/02/2011), amounts of Operational Programme contributions should be based on the appropriate multiplier ratio and cover only expected and unexpected losses from loans. Therefore, the amounts requested in this sections are the Operational Programme contributions blocked for guarantee contracts signed (for guarantees provided and guarantees committed) irrespective whether the loan was disbursed or not.
IV.2.4	Amounts of Operational Programme contributions blocked for guarantee contracts for loans paid to final recipients (in EUR)	number (amount)	Operational Programme contribution is not equal to the total value of guarantee(s). In line with section 4.1 of the Guidance Note on Financial Engineering Instruments (COCOF-10-0014-05 of 08/02/2011), amounts of Operational Programme contributions should be based on the appropriate multiplier ratio and cover only expected and unexpected losses from loans. Therefore, the amounts requested in this sections are the Operational Programme contributions blocked for guarantee contracts signed (for guarantees provided and guarantees committed) for the loans which were disbursed by the end of 2012.
IV.2.4.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount)	This section relates only to this part of the amount indicated under IV.2.4. which were provided from Structural funds (=ERDF or ESF resources).
IV.2.5*	Number of loans paid to final recipients in relation to guarantees contracts signed with final recipients	number	Number of loans disbursed until the end of 2012 in respect of which the guarantees supported from Operational Programme contributions have been committed/provided.
IV.2.6	Amount of loans actually paid to final recipients in relation to guarantees contracts signed (in EUR)	number (amount)	Total amount of loans guaranteed with OP resources and disbursed to final recipients.
IV.2.7	Date of signature of funding agreement between Managing Authority and specific fund for this guarantee product	DD/MM/YYYY	Date of signature of funding agreement between Managing authority and specific fund. Date format should be DD/MM/YYYY which will be automatically converted by SFC2007 to YYYY format.
<b>IV.3</b>	<b>Operational Programme contributions paid to final recipients in equity/venture capital</b>	////////////////////////////////////	////////////////////////////////////
IV.3.1	Name of equity/venture capital product	text	Name of equity/venture capital product supported with Operational Programme resources and offered by specific fund (=financial intermediary) to final recipients. The name of equity product should not be confused with the name of the specific fund or the financial intermediary name.
IV.3.2*	Number of final recipients supported	////////////////////////////////////	////////////////////////////////////
IV.3.2.1*	out of which large enterprises	number	Total number of large enterprises which signed a contract for equity/venture capital product with the specific fund, and to which the latter effectively made investment until the end of 2012. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.3.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises (SMEs) which signed a contract for equity/venture capital product with the specific fund, and to which the latter effectively made investment until the end of 2012. Definition of a "SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million.
IV.1.2.2.1*	out of which micro-enterprises	number	Total number of microenterprises which signed a contract for equity/venture capital product with the specific fund, and to which the latter effectively made investment (or a part of it) until the end of 2012. Definition of a "microenterprises" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employs less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.

IV.3.2.3*	out of which urban projects	number	Total number of urban projects supported with equity/venture capital product. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.3.2.4*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1* -IV.4.2.3*, but to which the specific fund effectively made investment until the end of 2012.
IV.3.3*	Number of equity/venture capital investments made in line with agreements signed between Managing authority and specific (equity/venture capital) fund	number	Number of agreements with final recipients for which investment was made by end of 2012.
IV.3.4	Amounts of Operational Programme contributions paid to investments made in line with agreements (in EUR)	number (amount)	Amounts of Operational Programme contribution ( i.e. ERDF/ESF + national public and private co-financing), paid to final recipients should be indicated in the section. Other resources (e.g. commercial bank resources or additional regional contributions) which contribute to this product but do not constitute part of the Operational Programme should not be reported in this section. This sections refers to the amounts of Operational Programme effectively disbursed, means paid into the account of the final recipients until the end of 2012. Resources returned to the operation from investments in final recipients are not considered amounts of Operational Programme contributions. Consequently, those resources returned to the operation and reinvested in final recipients should not be reported in this sections.
IV.3.4.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount)	This section relates only to this part of amount indicated in section IV.3.4, provided from Structural Funds (=ERDF & ESF resources).
IV.3.5	Date of signature of funding agreement between Managing Authority and specific fund for this equity/venture capital product	DD/MM/YYYY	Date of signature of funding agreement between Managing authority and specific fund. Date format should be DD/MM/YYYY which will be automatically converted by SFC2007 to YYYY format.
<b>IV.4</b>	<b>Operational Programme contributions paid to final recipients in other financial products</b>	////////////////////////////////////	"Other financial product" means the products other than those covered by the provisions under sections IV.1.1, IV.2.1, IV.3.1 of this template. Under section IV.4 the products like guarantee fee subsidies, interest rate subsidies and other products in line with section 4.3 of the Guidance Note on Financial Engineering Instruments (COCOF-10-0014-05 of 08/02/2011) should be reported. If a holding fund signed with the same specific fund more than one funding agreement for different other financial products, the entire section IV.4 should be repeated as many times as many funding agreements for other financial product have been signed with this specific fund.
IV.4.1	Name of other financial product	text	Name of other financial product supported with Operational Programme resources and offered by specific fund (=financial intermediary) to final recipients or for the benefit of final recipients. The name of other product should not be confused with the name of the specific fund or the financial intermediary name.
IV.4.2*	Number of final recipients supported	////////////////////////////////////	////////////////////////////////////
IV.4.2.1*	out of which large enterprises	number	Total number of large enterprises supported with other financial product. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.4.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises(SMEs) supported with other financial product. Definition of a "SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million.
IV.1.2.2.1*	out of which micro-enterprises	number	Total number of micro-enterprises supported with other financial product. Definition of a "microenterprises" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employs less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.
IV.4.2.3*	out of which individuals	number	Total number of individuals supported with other financial product. "Individuals" means all natural persons not covered by the definition of enterprise in the Commission Recommendation 2003/361/EC of 6 May 2003 (Title I, Article 1 of the Annex 1) or not covered by the definition of legal person in the national legislation.
IV.4.2.4*	out of which urban projects	number	Total number of urban projects supported with other financial product. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.4.2.5*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1* -IV.4.2.3*, but are supported with other financial product.

IV.4.3	Amounts of Operational Programme contributions paid to the final recipients (in EUR)	number (amount)	Amounts of Operational Programme contribution i.e. ERDF/ESF + national public and private co-financing, paid to final recipients or paid for the benefit of final recipients (in a case when the subsidy is paid directly to the financial intermediary), should be indicated in the section. Other resources (e.g. commercial bank resources or additional regional contributions) which contribute to this product but do not constitute part of the Operational Programme should not be reported in this section. This sections refers to the amounts of Operational Programme effectively disbursed, means paid into the account of the final recipients or to the benefit of final recipients, until the end of 2012. Resources returned to the operation from investments in final recipients are not considered amounts of Operational Programme contributions. Consequently, those resources returned to the operation and either reinvested in final recipients or paid to final recipients or for the benefit of final recipients) should not be reported in this sections.
IV.4.3.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount)	This section relates only to this part of amount indicated in section IV.4.3, provided from Structural Funds (ERDF and ESF) and paid to final recipients or paid for the benefit of final recipients until the end of 2012.
IV.4.4*	Number of other financial products provided to final recipients	number	Total number of final recipients supported with other financial product.
IV.4.5	Date of signature of funding agreement between Managing Authority and specific fund for this (other) financial product	DD/MM/YYYY	Date of signature of funding agreement between Managing authority and specific fund. Date format should be DD/MM/YYYY which will be automatically converted by SFC2007 to YYYY format.
<b>IV.5</b>	<b>Indicators</b>	////////////////////////////////////	////////////////////////////////////
IV.5.1*	Number of jobs created	number	Core indicator n°1 for ERDF and CF at OP level (definition: gross direct jobs created, full time equivalents, Source: "Working Document No. 7. Indicative Guidelines on evaluation methods: Reporting on core indicators for the European Regional Development Fund and the Cohesion Fund" ( <a href="http://ec.europa.eu/regional_policy/sources/docoffic/working/sf2000_en.htm">http://ec.europa.eu/regional_policy/sources/docoffic/working/sf2000_en.htm</a> ))